(-96) Not applicable

(-97) To be collected

(-98) Refused to answer

(-99) Missing

**ASSOCIATION FORM A**

**IFRI FORM A July 2013**

**SHORT INSTRUMENT**

*This Association Form has been designed to obtain information about forest associations related to one or more forests through the activities of residents of one or more settlements.*

*A primary forest association is one or more user groups with rules, policies, and/or guidelines about the forest, some of which users have prescribed for themselves.*

*A secondary forest association is defined as two or more forest associations that work together to accomplish joint activities and/or objectives with rules, policies, and/or guidelines, some of which have been prescribed by the secondary forest association.*

*A tertiary forest association (or parent organization) is defined as two or more secondary forest associations that work together to accomplish some joint activities and/or objectives with rules, policies, and/or guidelines, some of which have been prescribed by the tertiary association.*

Research ID<RID>:\_\_\_\_\_\_\_\_\_\_\_\_\_\_Country ID <CID>:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Site ID <SID>:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date of site visit (dd-mm-yr):\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date(s) data collected for this form (mm-dd-yr): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of association <ANAME>:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Is this forest association a <AFED>:

 (1) \_\_\_\_ Primary Forest Association?

 (2)\_\_\_\_ Secondary Forest Association?

 (3) \_\_\_\_Tertiary Forest Association?

Name(s) of forest(s):\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name(s) of settlement(s):\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Has this forest association been coded in previous years? <ACODED>:

 (1) No

 (2) Yes

 (3) Uncertain

 (4) Yes, with a different name *(If this response is checked, write old name*

 *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_<AOLDNAME>*.)*

A. **HISTORY AND ACTIVITIES**

A1. What year was this forest association formed? <AYEAR>\_\_\_\_\_\_\_\_\_\_\_\_

A2. Who initiated the initial formation of the forest association? <AINITWHO\_>

 ***Multiple answers may be applicable.***

 (1) \_\_\_\_Local users/user group,

 (2) \_\_\_\_Local government/local NGO,

 (3) \_\_\_\_Regional/national government or NGO

 (4)\_\_\_\_ International NGO or government

A3. During the past year, has the forest association coordinated, passed rules or modified rules for the following activities?

|  |  |
| --- | --- |
| Activity | Mark “0” for No, “1” for Yes |
| Planting seeds, seedlings or other maintenance activities | <AMAINT> |
| Forest protection  | <APROTECT> |
| Rule enforcement  | <ARULEENF> |
| Harvesting/using  | <AHARVEST> |
| Processing/producing  | <APROCESS> |
| Selling  | <ASELL> |
| Conflict resolution  | <ACONFLRES> |

B. **GOVERNANCE STRUCTURE**

B1. Is the executive committee (leadership) of the association similar to the majority of members of the association in the following social and economic characteristics?

|  |  |
| --- | --- |
| Socio-econ characteristics | Mark “0” for No-they are different, “1” for Yes-they are similar |
| Level of education  | <ALEADEDUC> |
| Wealth/socio-economic status  | <ALEADWEALTH> |
| Ethnicity  | <ALEADETHNIC> |

B2. Can the association’s executive committee (leadership) be sanctioned or removed from office for poor performance? <AREMOVE>

 ***Mark only one answer.***

1. \_\_\_\_No
2. \_\_\_\_Yes

B3. How often does the executive committee (leadership) of the association change? <ALEADCHANGE>

***Mark only one answer.***

(1)\_\_\_\_Every year

(2)\_\_\_\_Every 2-3 years

(3)\_\_\_\_Every 4-5 years

(4)\_\_\_\_Less than every 5 years

(5)\_\_\_\_Never

B4. Are meetings held in which all members of the forest association are eligible to participate? <AMEETALL>

 ***Mark only one answer.***

1. \_\_\_\_No – skip to B6
2. \_\_\_\_Yes

B5. If 'yes', how frequently are these meetings organized? <AMEETFREQ>

 ***Mark only one answer.***

 (1)\_\_\_\_At least once a month

 (1)\_\_\_\_Once every 3-6 months

 (3)\_\_\_\_Once a year

 (4) \_\_\_\_Less than once a year

 (-96) \_\_\_\_Not applicable

B6. Are records of any kind maintained by the association? <ARECMAINT>

 ***Mark only one answer.***

1. No – skip to B8
2. Yes

B7. If records are maintained, are they available for examination by others? <AEXAMINE>

 ***Mark only one answer.***

 (0) \_\_\_\_No

 (1) \_\_\_\_Yes

 (-96) \_\_\_\_Not applicable

B8. Are the activities of the association supervised by a higher organization? <ASUPER>

 ***Mark only one answer.***

1. No
2. Yes

B9. Do guards receive compensation? <APAIDG>

 ***Multiple answers may be applicable.***

 (1) \_\_\_\_No. They do not receive compensation.

 (2)\_\_\_\_Yes. They are paid in cash through formal fines or funds collected by the association.

 (3)\_\_\_\_Yes. They receive in-kind compensation from the association.

 (4) \_\_\_\_Yes. They are paid through funds received by an external source.

 (5)\_\_\_\_Yes. They receive compensation through bribes they receive from individuals caught illegally harvesting forest products.

 (-96) \_\_\_\_ Not applicable – There are no guards.

B10. How are guards selected to watch over the forest? <ASELGUARD>

 ***Mark only one answer.***

 (1) \_\_\_\_By election

 (2)\_\_\_\_By appointment

 (3)\_\_\_\_By lots

 (4)\_\_\_\_By rotation

 (-96) \_\_\_\_ Not applicable- There are no guards.

B11. Has a woman ever been a member of the leadership of the association? <AFEMMEM>

 ***Mark only one answer.***

1. \_\_\_\_No
2. \_\_\_\_Yes

B12. On average, over the past five years, what is the single most important financial source for the forest association? <ASRCE5>

 ***Mark only one answer.***

 (1) \_\_\_\_Voluntary contribution of funds,

 (2) \_\_\_\_Membership fee

 (3)\_\_\_\_Payments that substitute for labor input

 (4)\_\_\_\_Fines

 (5)\_\_\_\_National or regional government

 (6)\_\_\_\_Development agency

 (7)\_\_\_\_Sales of forest products from the forest

 (8)\_\_\_Own taxes

 (9)\_\_\_\_Special levies

 (10)\_\_\_\_Aid from external NGOs

 (11)\_\_\_\_Aid from indigenous NGOs

 (12)\_\_\_\_Foreign government

 (13)\_\_\_\_Other<ASRC5OTH> \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

B13. If the association did not receive any funds from external agencies and had to rely on contributions from members or its user group, or other funds raised locally, could it support all its expenditures? <ASUPPORT>

 ***Mark only one answer.***

 (1) \_\_\_\_No, it could not meet its expenses if no funds were received from external sources

 (2) \_\_\_\_Yes, it could support expenditures with a combination of sales of products and contributions from

 members

 (3)\_\_\_\_ Yes, it could support itself by sales from forest products

 (4) \_\_\_\_Yes, it could support its expenditures by contributions from members alone

B14. How are the rules created by the association enforced? Are they enforced by: <AENFRULES>

 ***Mark only one answer.***

 (1) \_\_\_\_Local people -Members of the user group or officials appointed by the association(s)

 (2) \_\_\_\_External officials appointed by the government

 (3) \_\_\_\_Both- local people and external officials